



**Commission de Surveillance
du Secteur Financier**

Luxembourg, 17 December 2019

To the management of
RiverBank S.A.
5, Boulevard Royal
L-2449 LUXEMBOURG

O/Ref.: RES.19/328-BAS/KNU
Y/Ref.:
Dispatch: mail

Contact person : François Basso
Direct dialling: (+352) 26 251 -2782
Email: francois.basso@cssf.lu

Re: Information request based on the Commission Implementing Regulation (EU) 2018/1624 of 23 October 2018

Dear Madam, dear Sir,

Pursuant to articles 3 and 5 of the Commission Implementing Regulation (EU) 2018/1624 of 23 October 2018 (hereafter “CIR”), laying down implementing technical standards with regard to procedures and standard forms and templates for the provision of information for the purposes of resolution plans for credit institutions and investment firms pursuant to Directive 2014/59/EU of the European Parliament and of the Council, and repealing Commission Implementing Regulation (EU) 2016/1066, institutions shall submit to the CSSF as national resolution authority the templates specified in the CIR.

The CIR documentation can be downloaded from:

<https://eur-lex.europa.eu/legal-content/EN/TXT/PDF/?uri=CELEX:32018R1624&from=EN>

The technical standards and procedures for the submission of the CIR documentation are available at:

<https://eba.europa.eu/regulation-and-policy/recovery-and-resolution/implementing-technical-standards-on-procedures-forms-and-templates-for-resolution-planning>

The technical report definition (XBRL taxonomy) is available at:

<https://eba.europa.eu/risk-analysis-and-data/reporting-frameworks/reporting-framework-2.9>

File format

The only file format entities may use is XBRL.

Filing type

There are 2 filing types applicable:

- RESOL_CON: This covers the institutions which are part of a group subject to consolidated supervision pursuant to articles 111 and 112 of Directive 2013/36/EU (hereafter “Dir 2013”). For these institutions the CSSF may or not apply simplified obligations.
- RESOL_IND: This covers the institutions which are not part of a group subject to consolidated supervision pursuant to articles 111 and 112 of Dir 2013. For these institutions the CSSF may or not apply simplified obligations.

The filing type applicable to your institution is RESOL_IND with simplified obligations.

For this filing type, the tables Z 01.00, Z 02.00, Z 03.00, Z 05.01, Z 05.02 and Z 06.00 need to be filled in.

For the tables which should not be filled in, all the respective filing indicators should either be omitted or be sent as false.

Delivery instructions

All files must be sent to the CSSF via the channel system according to Circular CSSF 08/334.

The naming convention to be used is the report type COF as described in the CSSF File Naming Convention:

http://www.cssf.lu/fileadmin/files/Reporting_legal/transport_securisation_reporting/Convention_de_nom.pdf

The naming convention must be fully respected to guarantee the acceptance of the file and an automated processing.

The file naming structure for the remittance of a compressed CIR 2020 file is as follows:

TYRDIR-ENNNNNNNN-YYYY-MM-TTTTTT-LL-C-D-S.ext

Example: COFREP-B00000999-2019-12-SRESOL-00-L-N--.zip

All files submitted are mandatorily compressed; please find hereafter the details of the **file naming convention for the .zip file** containing the XBRL instance:

Code	Meaning	Structure	Authorised values
TYR	Reporting type	Char(3)	'COF' constant
DIR	Direction	Char(3)	'REP' for Report → file sent to the CSSF
E	Reporting entity	Char(1)	'B' (Banks) or 'P' (Investment firms)
NNNNNNNN	Identification number	Number(8)	00000001...99999999
YYYY	Year	Number(4)	2019
MM	Month	Number(2)	12
TTTTTT	Table	Char(6)	CRESOL (data: ent.-pt. resol_con) SRESOL (data: ent.-pt. resol_ind)
LL	Layout	Char(2)	'00' for all tables
C	Accounting version	Char(1)	'C' for CRESOL 'L' or 'N' for SRESOL
D	Final version	Char(1)	code 'N': version PRODUCTION code 'T': version TEST
S	Sub-type	Char(1)	'-' for all
.ext	Extension	Char(5)	For 'REP' files: '.zip'

The **XBRL instance** itself within the .zip file (one single xbrl instance per .zip file) needs to respect the following file naming convention:

TYRDIR-ENNNNNNNN-YYYY-MM-TTTTTT-LL-C-D-S-NAME.xbrl

All the fields have the same meaning as defined for the .zip file; in addition there is a separator dash '-', the NAME and the extension .xbrl.

The values for the field "NAME.xbrl" should be completed in accordance with the field TTTTTT of the .zip file i.e:

TTTTTT	Name.xbrl
CRESOL	CRESOL.xbrl
SRESOL	SRESOL.xbrl

Deadlines

The files have to be completed as of 31 December 2019 and shall be sent to the CSSF at the latest on 30 April 2020 at 24:00h. Institutions may submit unaudited figures. Where audited figures deviate from submitted unaudited figures, corrections to the submitted files shall be sent without undue delay to the CSSF (still using "Final version" code 'N' in their resubmission).

Validation rules

The validation rules of the EBA reporting framework 2.9 apply, available at the following address:

<https://eba.europa.eu/risk-analysis-and-data/reporting-frameworks/reporting-framework-2.9>

Errors and warning validation rules need to be checked by your institution for each file submitted to the CSSF.



*Commission de Surveillance
du Secteur Financier*

Additional information

For any questions concerning the template, please contact Mr. Francois Basso (e-mail: francois.basso@cssf.lu) or Mr. Alexander Hummel (alexander.hummel@cssf.lu).

Yours sincerely,

COMMISSION de SURVEILLANCE du SECTEUR FINANCIER

A blue ink signature of François Basso, consisting of several overlapping horizontal strokes with a small 'B' in the middle.

François BASSO
Attaché

A blue ink signature of Romain Strock, featuring a large, stylized 'R' followed by a series of loops and a horizontal line.

Romain STROCK
Directeur résolution