



FUNDSQUARE
MARKET INFRASTRUCTURE



Fundsquare Naming Convention

November 2018



Document Collection: Fundsquare file naming convention

Legal Documents (1 of 4) !! For all documents : Max 99 characters

Type of Document	Level	Auto	Filename	Remarks
Prospectus	1	Semi Full	CPROSP-2010-09-01-FR-00-2011-02-01-xxxxxxxxxn.pdf CPROSP-2010-09-01-FR-00-2011-02-01-1-IE0123456789-xxxxxxxxxn.pdf	Complete prospectus version
Signed Prospectus	1	Semi Full	CSPROP-2010-09-01-FR-00-2011-02-01-xxxxxxxxxn.pdf CSPROP-2010-09-01-FR-00-2011-02-01-1-IE0123456789-xxxxxxxxxn.pdf	Signed version of the complete prospectus (not for publication)
Addendum Prospectus	1	Semi Full	ADDPRO-2010-09-01-FR-00-2011-02-01-xxxxxxxxxn.pdf ADDPRO-2010-09-01-FR-00-2011-02-01-1-IE0123456789-xxxxxxxxxn.pdf	
Supplement Prospectus	1	Semi Full	SUPPRO-2010-09-01-FR-00-2011-02-01-xxxxxxxxxn.pdf SUPPRO-2010-09-01-FR-00-2011-02-01-1-IE0123456789-xxxxxxxxxn.pdf	
Annual Report	1,2	Semi Full	ANNREP-2010-09-01-FR-00-2011-02-01-xxxxxxxxxn.pdf ANNREP-2010-09-01-FR-00-2011-02-01-1-IE0123456789-xxxxxxxxxn.pdf	
Semi-Annual Report	1,2	Semi Full	SEMREP-2010-09-01-FR-00-2011-02-01-xxxxxxxxxn.pdf SEMREP-2010-09-01-FR-00-2011-02-01-1-IE0123456789-xxxxxxxxxn.pdf	
KIID	3	Full	KIIDOC-2011-02-01-EN-00-2011-02-01-IE0123456789-xxxxxxxxxn.pdf KIIDOC-2011-02-01-EN-00-2011-02-01-3-IE0123456789-xxxxxxxxxn.pdf	Key Information Investors Document !! Max 99 characters !!
KIDD	3	Full	KIDDOC-2011-02-01-EN-00-2011-02-01-IE0123456789-xxxxxxxxxn.pdf	Key Information Document (PRIIPs)
KFS	1,2,3	Auto -> Full	KFSDOC-2011-02-01-EN-00-2011-02-01-2-IE0123456789-xxxxxxxxxn.pdf	Key Fact Statement (for SG and HK)



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Legal Documents (2 of 4) !! For all documents : Max 99 characters

Type of Document	Level	Auto	Filename	Remarks
SID	1,2	Auto -> Full	SUPDOC-2011-02-01-EN-00-2011-02-01-2-IE0123456789-xxxxxxxxxn.pdf	Supplementary Investment Document (for UK only)
ISA	1	Auto -> Full	ISADOC-2011-02-01-EN-00-2011-02-01-1-IE0123456789-xxxxxxxxxn.pdf	Individual Savings Account (ISA) Information
Articles of Incorporation	1	Semi Full	ARTINC-2011-02-01-EN-00-2011-02-01-xxxxxxxxxn.pdf ARTINC-2011-02-01-EN-00-2011-02-01-1-IE0123456789-xxxxxxxxxn.pdf	
Attestation of Registration	1	Semi Full	ATTREG-2011-02-01-EN-00-2011-02-01-xxxxxxxxxn.pdf ATTREG-2011-02-01-EN-00-2011-02-01-1-IE0123456789-xxxxxxxxxn.pdf	Provided by the Local Authority
Management Regulation	1	Semi Full	MANREG-2011-02-01-EN-00-2011-02-01-xxxxxxxxxn.pdf MANREG-2011-02-01-EN-00-2011-02-01-1-IE0123456789-xxxxxxxxxn.pdf	
Marketing Provisions	1	Semi Full	MKPROV-2011-02-01-EN-00-2011-02-01-xxxxxxxxxn.pdf MKPROV-2011-02-01-EN-00-2011-02-01-1-IE0123456789-xxxxxxxxxn.pdf	National rules for marketing
Voting Policy	1	Full	VOTPOL-2011-02-01-EN-00-2011-02-01-1-IE0123456789-xxxxxxxxxn.pdf	
Confirmation of Payment	1	Full	PAYMCF-2010-09-01-FR-00-2011-02-01-1-IE0123456789-xxxxxxxxxn.pdf	



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Legal Documents (3 of 4) !! For all documents : Max 99 characters

Type of Document	Level	Auto	Filename	Remarks
Power of Attorney	1	Full	POADOC-2011-02-01-EN-00-2011-02-01-1-IE0123456789-xxxxxxxxxn.pdf	
Declaration of Self-Certification	0	Semi	DSCERT-2010-09-01-FR-00-2011-02-01-xxxxxxxxxn.pdf	
Translation Certification	-	Semi	TRCERT-2010-09-01-FR-00-0000-00-00-xxxxxxxxxn.pdf	
Update Description	1	Full	UPDES-2011-02-01-FR-00-0000-00-00-1-IE0123456789-xxxxxxxxxn.pdf	
Registration Worksheet	1	Full Semi	REGSHT-2013-12-01-EN-00-2013-12-01-1-IE0123456789-xxxxxxxxxn.xls REGSHT-2013-12-01-EN-00-2013-12-01-xxxxxxxxxn.xls	
Notification Letter	1	Full	NOTLET-2010-09-01-FR-00-2011-02-01-1-IE0123456789-xxxxxxxxxn.pdf	Not for Publication
XML Notification Letter	1	Full	NOTLEX-2010-09-01-FR-00-2011-02-01-1-IE0123456789-xxxxxxxxxn.xml	XML version of the notification letter in pdf
Notification Letter (Annex)	1	Full	NOTLEA-2012-02-01-FR-0000-00-00-1-FR1234567890-xxxxxxxxxxxxxxxxn.pdf	
Confirmation Letter	1	Full	LETCON-2012-02-01-FR-yyyy-mm-dd-1-FR1234567890-xxxxxxxxxxxxxxxxn.pdf	AIFMD



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Legal Documents (4 of 4) !! For all documents : Max 99 characters

Type of Document	Level	Auto	Filename	Remarks
Declaration of Document Transmission to Regulators	1	Semi	DECALL-2014-05-10-EN-00-2014-02-01-xxxxxxxxxn.pdf	
Compliance Report	1,2	Full Semi	COMREP-2010-09-01-FR-00-2011-02-01-1-LU0123456789-xxxxxxxxxn.pdf COMREP-2010-09-01-FR-00-2011-02-01-xxxxxxxxxn.pdf	
Governance Report	1,2	Full Semi	GOVREP-2010-09-01-FR-00-2011-02-01-1-LU0123456789-xxxxxxxxxn.pdf GOVREP-2010-09-01-FR-00-2011-02-01-xxxxxxxxxn.pdf	
Internal Audit Report	1,2	Full Semi	INAURP-2010-09-01-FR-00-2011-02-01-1-LU0123456789-xxxxxxxxxn.pdf INAURP-2010-09-01-FR-00-2011-02-01-xxxxxxxxxn.pdf	



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Reporting Files (1 of 3) !! For all documents : Max 99 characters

Type of Document	Level	Auto	Filename	Remarks
AIFM Reporting File	0	Full Semi	AIFMRF-2014-01-31-EN-00-0000-00-00-0-IE0123456789-xxxxxxxxxxn.xml AIFMRF-2014-01-31-EN-00-0000-00-00-0-xxxxxxxxxxn.xml *AIFMRF-2014-01-31-EN-00-0000-00-00-yyyyy.xml*	Alternative Investment Fund Manager (AIFM) reporting file *For Amfine Clients : yyyyy corresponds to AIFM id
AIF Reporting File	1,2	Full Semi	AIFFRF-2014-01-31-EN-00-0000-00-00-1-IE0123456789-xxxxxxxxxxn.xml AIFFRF-2014-01-31-EN-00-0000-00-00-1-xxxxxxxxxxn.xml *AIFFRF-2014-01-31-EN-00-0000-00-00-zzzz.xml*	Alternative Investment Fund (AIF) reporting file *For Amfine Clients : zzzz corresponds to AIF id



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Reporting Files (2 of 3) !! For all documents : Max 99 characters

Type of Document	Level	Auto	Filename	Remarks
FATCA Report	0,1,2	Full	<p><u>Reporting :</u></p> <p>FATCA_DateTime_Channel_MatriculeReporter_GIIN_ProdTest.xml</p> <p><u>Answer from the ACD :</u></p> <p>FATCA_DateTime_Channel_MatriculeReporter_GIIN_ProdTest_Status.xml</p> <p>Example :</p> <p><u>Reporting :</u></p> <p>FATCA_20150731115912_B_11231231230_LBITA8.00002.SP.442_T.xml</p> <p><u>ACD :</u></p> <p>FATCA_20150731115912_B_11231231230_LBITA8.00002.SP.442_T_ack.xml</p>	<p>DateTime : AAAAMMJJhhmmss Channel : B MatriculeReporter : CCSS Code ProdTest : P = Production T = Test Status : ACK = received by ACD NAK = error on reception</p> <p>VAL = Validated and imported by ACD WAR = Validated and imported by ACD but with a light problem which generates a warning ERR = File with errors and rejected by the ACD</p>



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Reporting Files (3 of 3) !! For all documents : Max 99 characters

Type of Document	Level	Auto	Filename	Remarks
CRS Report	0,1,2	FULL	CRS<SEP>DateHeure<SEP>MessageTypeIndic<SEP>FiscalYear<SEP>Canal<SEP>MatriculeDuDéposant<SEP>MatriculeDuRFI<SEP>Environnement.xml Example : CRS_20170615114523_CRS701_2016_B_19751234567_01234567890M0000000_P.xml	DateHeure : YYYYMMDDhhmmss MessageTypeIndic : CRS701 or CRS702 or CRS703 Fiscal Year : YYYY Canal : B MatriculeDuDéposant : CCSS MatriculeDuRFI : ex. 12345678901M00000000 Environnement : P = Production T = Test



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Other document !! For all documents : Max 99 characters

Type of Document	Level	Auto	Filename	Remarks
Factsheet	3	Full	FACSHT-2011-02-01-EN-00-2011-02-01-IE0123456789-xxxxxxxxxn.pdf FACSHT-2011-02-01-EN-00-2011-02-01-3-IE0123456789-xxxxxxxxxn.pdf	
KIID Unprotected	3	Full	KIIUNP-2011-02-01-EN-00-2011-02-01-IE0123456789-xxxxxxxxxn.pdf KIIUNP-2011-02-01-EN-00-2011-02-01-3-IE0123456789-xxxxxxxxxn.pdf	Unprotected version of a UCITs KII document. If necessary, Fundsquare is able to protect the document before publication/dissemination
KIDD Unprotected	3	Full	KIDUNP-2011-02-01-EN-00-2011-02-01-IE0123456789-xxxxxxxxxn.pdf KIDUNP-2011-02-01-EN-00-2011-02-01-3-IE0123456789-xxxxxxxxxn.pdf	Unprotected version of a Priips KID document. If necessary, Fundsquare is able to protect the document before publication/dissemination
Paying Agent Agreement	1	Full	PAYAGA-2010-09-01-FR-00-2011-02-01-1-IE0123456789-xxxxxxxxxn.pdf	
General Terms and Conditions of Sale	1,2,3	Full	GTCDOC-2010-09-01-FR-00-2011-02-01-1-IE0123456789-xxxxxxxxxn.pdf	
Notice	0,1,2,3	Full Semi	CAEDOC-2011-02-01-EN-00-2011-02-01-3-IE0123456789-xxxxxxxxxn.pdf CAEDOC-2011-02-01-EN-00-2011-02-01-0-xxxxxxxxxn.pdf	



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Forms !! For all documents : Max 99 characters

Type of Document	Level	Auto	Filename	Remarks
Application Form	0,1,2,3	Full Semi	APLFRM-2011-02-01-EN-00-2011-02-01-1-IE0123456789-xxxxxxxxxn.pdf APLFRM-2011-02-01-EN-00-2011-02-01-0-xxxxxxxxxn.pdf	Fund Market Infrastructure scope
Account Opening Form	0,1,2,3	Full Semi	ACOFRM-2011-02-01-EN-00-2011-02-01-1-IE0123456789-xxxxxxxxxn.pdf ACOFRM-2011-02-01-EN-00-2011-02-01-0-xxxxxxxxxn.pdf	Fund Market Infrastructure scope
Check List	0,1,2,3	Full Semi	CHKLST-2011-02-01-EN-00-2011-02-01-1-IE0123456789-xxxxxxxxxn.pdf CHKLST-2011-02-01-EN-00-2011-02-01-0-xxxxxxxxxn.pdf	Fund Market Infrastructure scope
Referential Data	-	Full	REFDAT-SHORDS-2013-06-01-xxxxxxxxxn.xls REFDAT-SHORSD-2013-06-01-xxxxxxxxxn.xls REFDAT-FDSTDS-2013-06-01-xxxxxxxxxn.xls REFDAT-SFSTDS-2013-06-01-xxxxxxxxxn.xls REFDAT-SHINDS-2013-06-01-xxxxxxxxxn.xls REFDAT-TPFDDS-2013-06-01-xxxxxxxxxn.xls REFDAT-CDFDDS-2013-06-01-xxxxxxxxxn.xls REFDAT-XXXXXX-2013-06-01-xxxxxxxxxn.xls	Share Order Referential Data Set Share Order Referential Specific Data Set Fund Static Data Set Sub-Fund Static Data Set Share Static Data Set Third Party Funds Data Set Fund Codification Data Set Specific customer format



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Marketing !! For all documents : Max 99 characters

Type of Document	Level	Auto	Filename	Remarks
Dividend Calendar	1	Full	DIVCAL-2011-02-01-EN-00-2011-02-01-1-IE0123456789-xxxxxxxxxn.pdf	
Holiday Calendar	1	Full	HOLCAL-2011-02-01-EN-00-2011-02-01-1-IE0123456789-xxxxxxxxxn.pdf	
Monthly Fund Report/Commentary (commercial)	1,2,3	Full Semi	MTHCMT-2011-02-01-EN-00-2011-02-01-1-IE0123456789-xxxxxxxxxn.pdf MTHCMT-2011-02-01-EN-00-2011-02-01-xxxxxxxxxn.pdf	
Quarterly Fund Report/Commentary (commercial)	1,2,3	Full Semi	QUACMT-2011-02-01-EN-00-2011-02-01-1-IE0123456789-xxxxxxxxxn.pdf QUACMT-2011-02-01-EN-00-2011-02-01-xxxxxxxxxn.pdf	
Semestrial Fund Report/Commentary (commercial)	1,2,3	Full Semi	SEMCMT-2011-02-01-EN-00-2011-02-01-1-IE0123456789-xxxxxxxxxn.pdf SEMCMT-2011-02-01-EN-00-2011-02-01-xxxxxxxxxn.pdf	
Annual Fund Report/Commentary (commercial)	1,2,3	Full Semi	ANNCMT-2011-02-01-EN-00-2011-02-01-1-IE0123456789-xxxxxxxxxn.pdf ANNCMT-2011-02-01-EN-00-2011-02-01-xxxxxxxxxn.pdf	
Fund Profile	1	Full Semi	FDPROF-2011-02-01-EN-00-2011-02-01-1-IE0123456789-xxxxxxxxxn.pdf FDPROF-2014-05-10-EN-00-2014-02-01-xxxxxxxxxn.pdf	



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Corporate Actions (1 of 11) !! For all documents : Max 99 characters

Type of Document	Level	Auto	Filename	Remarks
Trading Status : Active	1	Full Semi	CAACTV-2011-02-01-EN-00-2011-02-01-1-IE0123456789-xxxxxxxxxn.pdf CAACTV-2011-02-01-EN-00-2011-02-01-xxxxxxxxxn.pdf	Notice of Fund's corporate action event Notice of Company's corporate action event
Trading Status : Delisted	1	Full Semi	CADLST-2011-02-01-EN-00-2011-02-01-1-IE0123456789-xxxxxxxxxn.pdf CADLST-2011-02-01-EN-00-2011-02-01-xxxxxxxxxn.pdf	Security is no longer able to comply with the listing requirements of a stock exchange and is removed from official board quotation.
Bonus Issue / Capitalisation Issue	1	Full Semi	CAEDOC-2011-02-01-EN-00-2011-02-01-1-IE0123456789-xxxxxxxxxn.pdf CAEDOC-2011-02-01-EN-00-2011-02-01-xxxxxxxxxn.pdf	Combination of different security types to create a unit. Units are usually comprised of warrants and bonds or warrants and equities. Securities may be combined at the request of the security holder or based on market convention.



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Corporate Actions (2 of 11) !! For all documents : Max 99 characters

Type of Document	Level	Auto	Filename	Remarks
Capital Distribution	3	Full Semi	CACAPD-2011-02-01-EN-00-2011-02-01-3-IE0123456789-xxxxxxxxxn.pdf CACAPD-2011-02-01-EN-00-2011-02-01-xxxxxxxxxn.pdf	The Corporate event pays shareholders an amount in cash issued from the Capital account. There is no reduction to the face value of a single share. The number of circulating shares remains unchanged.
Capital Gains Distribution	3	Full Semi	CACAPG-2011-02-01-EN-00-2011-02-01-3-IE0123456789-xxxxxxxxxn.pdf CACAPG-2011-02-01-EN-00-2011-02-01-xxxxxxxxxn.pdf	Distribution of profits resulting from the sale of company assets, for example, Shareholders of Mutual Funds, Unit Trusts, or Sicavs are recipients of capital gains distributions which are often reinvested in additional shares of the fund.
Change	1	Full Semi	CACHAN-2010-09-01-FR-00-2011-02-01-1-IE0123456789-xxxxxxxxxn.pdf CACHAN-2011-02-01-EN-00-2011-02-01-xxxxxxxxxn.pdf	Information regarding a change further described in the corporate action details.



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Corporate Actions (3 of 11) !! For all documents : Max 99 characters

Type of Document	Level	Auto	Filename	Remarks
Consent	1	Full Semi	CACONS-2010-09-01-FR-00-2011-02-01-1-IE0123456789-xxxxxxxxxn.pdf CACONS-2011-02-01-EN-00-2011-02-01-xxxxxxxxxn.pdf	Procedure that aims to obtain consent of holder to a proposal by the issuer or a third party intended to progress an event to the next stage. This procedure is not required to be linked to the organisation of a formal meeting. For example, consent to approve a plan of reorganisation for a bankruptcy proceeding.
Decrease in Value	3	Full Semi	CADECR-2011-02-01-EN-00-2011-02-01-3-IE0123456789-xxxxxxxxxn.pdf CADECR-2011-02-01-EN-00-2011-02-01-xxxxxxxxxn.pdf	Reduction of face value of a single share or the value of fund assets. The number of circulating shares/units remains unchanged. This event may include a cash payout to holders.
Bankruptcy	1	Full Semi	CABPUT-2011-02-01-EN-00-2011-02-01-1-IE0123456789-xxxxxxxxxn.pdf CABPUT-2011-02-01-EN-00-2011-02-01-xxxxxxxxxn.pdf	Legal status of a company unable to pay creditors. Bankruptcy usually involves a formal court ruling. Security may become valueless



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Corporate Actions (4 of 11) !! For all documents : Max 99 characters

Type of Document	Level	Auto	Filename	Remarks
Disclosure	3	Full Semi	CADSCL-2011-02-01-EN-00-2011-02-01-3-IE0123456789-xxxxxxxxxn.pdf CADSCL-2011-02-01-EN-00-2011-02-01-xxxxxxxxxn.pdf	Requirement for holders or beneficial owners to disclose their name, location and holdings of any issue to the issuer.
Cash Dividend	3	Full Semi	CADVCA-2011-02-01-EN-00-2011-02-01-3-IE0123456789-xxxxxxxxxn.pdf CADVCA-2011-02-01-EN-00-2011-02-01-xxxxxxxxxn.pdf	Distribution of cash to shareholders, in proportion to their equity holding. Ordinary dividends are recurring and regular. Shareholder must take cash and may be offered a choice of currency.
Dividend Option	3	Full Semi	CADVOP-2010-09-01-FR-00-2011-02-01-3-IE0123456789-xxxxxxxxxn.pdf CADVOP-2011-02-01-EN-00-2011-02-01-xxxxxxxxxn.pdf	Distribution of a dividend to shareholders with a choice of benefit to receive. Shareholders may choose to receive shares or cash. To be distinguished from DRIP as the company creates new share capital in exchange for the dividend rather than investing the dividend in the market.



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Corporate Actions (5 of 11) !! For all documents : Max 99 characters

Type of Document	Level	Auto	Filename	Remarks
Increase in Value	3	Full Semi	CAINCR-2011-02-01-EN-00-2011-02-01-3-IE0123456789-xxxxxxxxxn.pdf CAINCR-2011-02-01-EN-00-2011-02-01-xxxxxxxxxn.pdf	Increase in the face value of a single security. The number of circulating securities remains unchanged.
Interest Payment	3	Full Semi	CAINTR-2011-02-01-EN-00-2011-02-01-3-IE0123456789-xxxxxxxxxn.pdf CAINTR-2011-02-01-EN-00-2011-02-01-xxxxxxxxxn.pdf	Regular interest payment distributed to holders of an interest bearing asset.
Liquidation Dividend / Liquidation Payment	3	Full Semi	CALIQU-2011-02-01-EN-00-2011-02-01-3-IE0123456789-xxxxxxxxxn.pdf CALIQU-2011-02-01-EN-00-2011-02-01-xxxxxxxxxn.pdf	A distribution of cash, assets or both. Debt may be paid in order of priority based on preferred claims to assets specified by the security.
Full Call / Early Redemption	1	Full Semi	CAMCAL-2011-02-01-EN-00-2011-02-01-1-IE0123456789-xxxxxxxxxn.pdf CAMCAL-2011-02-01-EN-00-2011-02-01-xxxxxxxxxn.pdf	The redemption of an entire issue outstanding of securities, for example, bonds, preferred equity, funds, by the issuer or its agent, for example, asset manager, before final maturity.



Document Collection: Fundsquare file naming convention

Corporate Actions (6 of 11) !! For all documents : Max 99 characters

Type of Document	Level	Auto	Filename	Remarks
Annual General Meeting	1	Full Semi	CAMEET-2011-02-01-EN-00-2011-02-01-1-IE0123456789-xxxxxxxxxn.pdf CAMEET-2011-02-01-EN-00-2011-02-01-xxxxxxxxxn.pdf	Annual general meeting
Ordinary General Meeting	1	Full Semi	CAOMET-2010-09-01-FR-00-2011-02-01-1-IE0123456789-xxxxxxxxxn.pdf CAOMET-2011-02-01-EN-00-2011-02-01-xxxxxxxxxn.pdf	Ordinary General Meeting
Merger	1	Full Semi	CAMRGR-2011-02-01-EN-00-2011-02-01-1-IE0123456789-xxxxxxxxxn.pdf CAMRGR-2011-02-01-EN-00-2011-02-01-xxxxxxxxxn.pdf	Exchange of outstanding securities, initiated by the issuer which may include options, as the result of two or more companies combining assets, that is, an external, third party company. Cash payments may accompany share exchange.
Other Event	1	Full Semi	CAOTHR-2011-02-01-EN-00-2011-02-01-1-IE0123456789-xxxxxxxxxn.pdf CAOTHR-2011-02-01-EN-00-2011-02-01-xxxxxxxxxn.pdf	Other event, use only when no other event type applies, for example, a new event type.



Document Collection: Fundsquare file naming convention

Corporate Actions (7 of 11) !! For all documents : Max 99 characters

Type of Document	Level	Auto	Filename	Remarks
Partial Redemption Without Reduction of Nominal Value	3	Full Semi	CAPRED-2011-02-01-EN-00-2011-02-01-3-IE0123456789-xxxxxxxxxn.pdf CAPRED-2011-02-01-EN-00-2011-02-01-xxxxxxxxxn.pdf	Securities are redeemed in part before their scheduled final maturity date without reduction of the nominal value of the securities. This is commonly done by pool factor reduction.
Partial Redemption With Reduction of Nominal Value	3	Full Semi	CAPCAL-2011-02-01-EN-00-2011-02-01-3-IE0123456789-xxxxxxxxxn.pdf CAPCAL-2011-02-01-EN-00-2011-02-01-xxxxxxxxxn.pdf	Securities are redeemed in part before their scheduled final maturity date with reduction of the nominal value of the securities. The outstanding amount of securities will be reduced proportionally.
Final Maturity	1	Full Semi	CAREDM-2011-02-01-EN-00-2011-02-01-1-IE0123456789-xxxxxxxxxn.pdf CAREDM-2011-02-01-EN-00-2011-02-01-xxxxxxxxxn.pdf	The redemption of an entire issue outstanding of securities, for example, bonds, preferred equity, funds, by the issuer or its agent, for example, asset manager, at final maturity.



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Corporate Actions (8 of 11) !! For all documents : Max 99 characters

Type of Document	Level	Auto	Filename	Remarks
Redomination	1	Full Semi	CAREDO-2011-02-01-EN-00-2011-02-01-1-IE0123456789-xxxxxxxxxn.pdf CAREDO-2011-02-01-EN-00-2011-02-01-xxxxxxxxxn.pdf	Event by which the unit (currency and/or nominal) of a security is restated, for example, nominal/par value of security in a national currency is restated in another currency.
Rights Issue / Subscription Rights / Rights Offer	3	Full Semi	CARHTS-2010-09-01-FR-00-2011-02-01-3-IE0123456789-xxxxxxxxxn.pdf CARHTS-2011-02-01-EN-00-2011-02-01-xxxxxxxxxn.pdf	Distribution of a security or privilege that gives the holder an entitlement or right to take part in a future event.
Spin-Off	1	Full Semi	CASOFF-2011-02-01-EN-00-2011-02-01-1-IE0123456789-xxxxxxxxxn.pdf CASOFF-2011-02-01-EN-00-2011-02-01-xxxxxxxxxn.pdf	A distribution of subsidiary stock to the shareholders of the parent company without a surrender of shares. Spin-off represents a form of divestiture usually resulting in an independent company or in an existing company. For example, demerger, distribution, unbundling.



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Corporate Actions (9 of 11) !! For all documents : Max 99 characters

Type of Document	Level	Auto	Filename	Remarks
Stock Split / Change in Nominal Value / Subdivision	1	Full Semi	CASPLF-2011-02-01-EN-00-2011-02-01-1-IE0123456789-xxxxxxxxxn.pdf CASPLF-2011-02-01-EN-00-2011-02-01-xxxxxxxxxn.pdf	Increase in a corporation's number of outstanding equities without any change in the shareholder's equity or the aggregate market value at the time of the split. Equity price and nominal value are reduced accordingly.
Reverse Stock Split / Change on Nominal Value	1	Full Semi	CASPLR-2011-02-01-EN-00-2011-02-01-1-IE0123456789-xxxxxxxxxn.pdf CASPLR-2011-02-01-EN-00-2011-02-01-xxxxxxxxxn.pdf	Decrease in a company's number of outstanding equities without any change in the shareholder's equity or the aggregate market value at the time of the split. Equity price and nominal value are increased accordingly.
Trading Status : Suspended	1	Full Semi	CASUSP-2010-09-01-FR-00-2011-02-01-1-IE0123456789-xxxxxxxxxn.pdf CASUSP-2011-02-01-EN-00-2011-02-01-xxxxxxxxxn.pdf	Trading in the security has been suspended.
Tax Reclaim	3	Full Semi	CATREC-2011-02-01-EN-00-2011-02-01-3-IE0123456789-xxxxxxxxxn.pdf CATREC-2011-02-01-EN-00-2011-02-01-xxxxxxxxxn.pdf	Event related to tax reclaim activities.



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Corporate Actions (10 of 11) !! For all documents : Max 99 characters

Type of Document	Level	Auto	Filename	Remarks
Withholding Tax Relief	3	Full Semi	CAWTRC-2011-02-01-EN-00-2011-02-01-3-IE0123456789-xxxxxxxxxn.pdf CAWTRC-2011-02-01-EN-00-2011-02-01-xxxxxxxxxn.pdf	Certification request for withholding tax reduction or exemption based on the tax status of the holder.
Extraordinary or Special General Meeting	1	Full Semi	CAXMET-2011-02-01-EN-00-2011-02-01-1-IE0123456789-xxxxxxxxxn.pdf CAXMET-2011-02-01-EN-00-2011-02-01-xxxxxxxxxn.pdf	Extraordinary or special general meeting.
Notice to Directors	1,2	Full Semi	CAADMI-2011-02-01-EN-00-2011-02-01-1-IE0123456789-xxxxxxxxxn.pdf CAADMI-2011-02-01-EN-00-2011-02-01-xxxxxxxxxn.pdf	
Notice to Directors and change of address	1,2	Full Semi	CAADDR-2011-02-01-EN-00-2011-02-01-1-IE0123456789-xxxxxxxxxn.pdf CAADDR-2011-02-01-EN-00-2011-02-01-xxxxxxxxxn.pdf	
Notice – Legal Liquidation	1,2	Full Semi	CALEGL-2011-02-01-EN-00-2011-02-01-1-IE0123456789-xxxxxxxxxn.pdf CALEGL-2011-02-01-EN-00-2011-02-01-xxxxxxxxxn.pdf	
Notice of Closure of Liquidation	1,2	Full Semi	CACLIQ-2011-02-01-EN-00-2011-02-01-1-IE0123456789-xxxxxxxxxn.pdf CACLIQ-2011-02-01-EN-00-2011-02-01-xxxxxxxxxn.pdf	
Notice of Dissolution	1,2	Full Semi	CADISS-2011-02-01-EN-00-2011-02-01-1-IE0123456789-xxxxxxxxxn.pdf CADISS-2011-02-01-EN-00-2011-02-01-xxxxxxxxxn.pdf	
Notice – Legal Structure	1,2	Full Semi	CALEGS-2011-02-01-EN-00-2011-02-01-1-IE0123456789-xxxxxxxxxn.pdf CALEGS-2011-02-01-EN-00-2011-02-01-xxxxxxxxxn.pdf	
Notice of Amendment to Articles of Association	1,2	Full Semi	CAARTA-2011-02-01-EN-00-2011-02-01-1-IE0123456789-xxxxxxxxxn.pdf CAARTA-2011-02-01-EN-00-2011-02-01-xxxxxxxxxn.pdf	



Document Collection: Fundsquare file naming convention

Corporate Actions (11 of 11) !! For all documents : Max 99 characters

Type of Document	Level	Auto	Filename	Remarks
Notice of Intent to Merge	1,2	Full Semi	CAMERG-2011-02-01-EN-00-2011-02-01-1-IE0123456789-xxxxxxxxxn.pdf CAMERG-2011-02-01-EN-00-2011-02-01-xxxxxxxxxn.pdf	
Notice of Intent to Demerge	1,2	Full Semi	CADEMG-2011-02-01-EN-00-2011-02-01-1-IE0123456789-xxxxxxxxxn.pdf CADEMG-2011-02-01-EN-00-2011-02-01-xxxxxxxxxn.pdf	
Notice – Management Regulations	1,2	Full Semi	CAMREG-2011-02-01-EN-00-2011-02-01-1-IE0123456789-xxxxxxxxxn.pdf CAMREG-2011-02-01-EN-00-2011-02-01-xxxxxxxxxn.pdf	
Notice – Correction	1,2	Full Semi	CACRTN-2011-02-01-EN-00-2011-02-01-1-IE0123456789-xxxxxxxxxn.pdf CACRTN-2011-02-01-EN-00-2011-02-01-xxxxxxxxxn.pdf	
Notice of Transfer of Registered Office	1,2	Full Semi	CATRAN-2011-02-01-EN-00-2011-02-01-1-IE0123456789-xxxxxxxxxn.pdf CATRAN-2011-02-01-EN-00-2011-02-01-xxxxxxxxxn.pdf	
Notice for a Prospectus Update	1	Full Semi	PROSUP-2011-02-01-EN-00-2011-02-01-1-IE0123456789-xxxxxxxxxn.pdf PROSUP-2014-05-10-EN-00-2014-02-01-xxxxxxxxxn.pdf	
Notice for a Change in the Investment Policy of a/several sub-fund(s)	1	Full Semi	INPOUP-2011-02-01-EN-00-2011-02-01-1-IE0123456789-xxxxxxxxxn.pdf INPOUP-2014-05-10-EN-00-2014-02-01-xxxxxxxxxn.pdf	



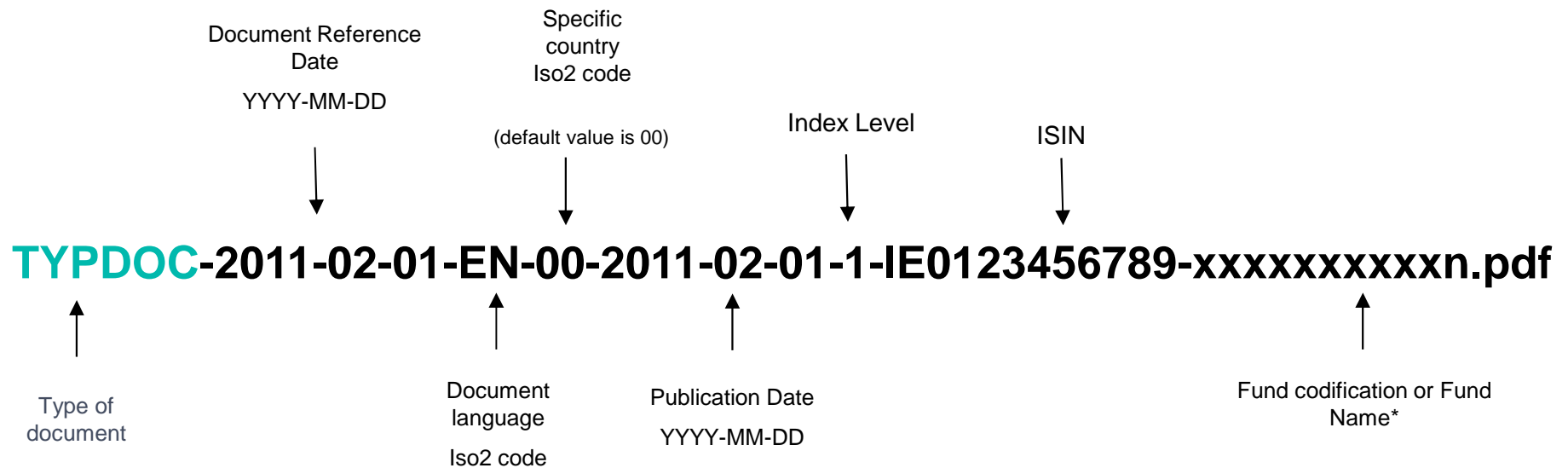
Document Collection: Fundsquare file naming convention

Document from Regulators !! For all documents : Max 99 characters

Type of Document	Level	Auto	Filename	Remarks
Feedback from Regulator	1	Semi	FDBACK-2014-05-10-EN-00-xxxxxxxxxn.pdf	



Document Collection: Fundsquare file naming convention



* Using other fund codes is possible only with the agreement of Fundsquare. 'Other Fund codification or name' field is optional (length is variable) but must not contain any special character or accent (ASCII 7-bits).



Document Collection: Fundsquare file naming convention

TYPDOC-2011-02-01-EN-00-2011-02-01-1-IE0123456789-xxxxxxxxxxn.pdf

position 1 to 6 : **document type (provided by Fundsquare)**

position 8 to 17 : **document reference date (YYYY-MM-DD format)**

position 19 to 20 : **document language (ISO 639 code / alpha-2)**

position 22 to 23 : **specific country for the document (ISO 3166 code / alpha-2)**

default value is "00"

position 25 to 34 : **document publication date (YYYY-MM-DD format)**

default value is today date, a future date should be used for a publication embargo or "0000-00-00" for no publication

Variant 1 : (manual indexation)

Position 36 to n : free text (optional) with **Fund codification or label**

Variant 2 : (reserved for KIID and Factsheet ONLY – automatic indexation)

position 36 to 47 : share class **ISIN** code

position 49 to n : free text (optional) with **Fund codification or label**

Variant 3 : (extension of variant 2 – automatic indexation)

position 36 : **indexation** level (0 = management company / 1 = fund / 2 = subfund / 3 = share class level)

position 38 to 49 : share class **ISIN** code

Position 51 to n : free text (optional) with **Fund codification or label**

Variant 4 : (for document with no fund reference)

position 36 : **indexation** level (0 = company level)

position 38 to n : **company identifier or company name** (to define : future LEI, legal entity identifier)

* **separator character between information is "-", filename must not contain any special character or accent (ASCII 7-bits)**



Document Collection: Fundsquare file naming convention

TYRDIR-ENNNNNNNN-CCCCCCCC-PPPP-YYYY-MM-DD-DO-LL-III.pdf

TYR : reporting type , Char(3) = DOC, for “non-structured documents”

DIR : Direction, Char(3) = REP, Report ; FDB, Feedback

E : entity type, Char(1), constant = O for Fund

NNNNNNNN : Code CSSF Fund, Number(8) 00000001Σ99999999

CCCCCCCC : Code CSSF Sub-fund, Number(8) 00000001Σ99999999 for an entity of sub-fund; 00000000, for an entity without sub-fund

PPPP : Code CSSF share, Number(4) 0001Σ9999 for an entity of sub-fund and share, 0000; for an entity without share

YYYY : Year ; **MM** : Month ; **DD** : Day (for reference date)

DO : document type Char(2) Code to define the document type PC = full prospectus ; PS = simplified prospectus; KI

= KIID; AR = Annual Report; SR = Semi-annual report; MR =Management regulation; AI =Article of incorporation; MP

= Marketing provisions

LL : document language Char(2) Code ISO 639-1 (alpha-2), EN=English, FR=Français, DE=Deutsch, LB=luxembourgeois,

III : annex number Number(4) 0000

Example: **DOCREP-O00002230-00000003-0001-2009-06-01-KI-FR-0000.pdf**



Document Collection: Fundsquare file naming convention

REFDAT-FFFFFF-YYYY-MM-DD-xxxxxxxxxxxxxxxxxxxxx.xls

REFDAT: Type of file (referential data), Char(6)

FFFFFF : Format of the file (indicate the type of data set contained in the file), Char(6). Should be :

SHORDS for Share Order Referential Data Set

SHORSD for Share Order Referential Specific Data Set

FDSTDS for Fund Static Data Set

SFSTDS for Sub-Fund Static Data Set

SHINDS for Share Static Data Set

TPFDDS for Third Party Funds Data Set

CDFDDS for Fund Codification Data Set

XXXXXX for Specific customer format with the agreement of Fundsquare

YYYY-MM-DD : document reference date (YYYY-MM-DD format). Indicate date validity of the data set

xxxxxxxxxxxxxxxxxxxxx : free text but must not contain any special character or accent (ASCII 7-bits).

Example: **REFDAT-SHORDS-2013-07-01-Data reference.xls**