

Date: 03/07/2019

e-file update 'CSSF 19/709'

Central securities depositories regulation to the CSSF is activated

Who is concerned?

- ♦ All credit institutions incorporated under Luxembourg law (with the exception of the credit institutions due to EU Regulation 909/2014 (CSDR))
- ♦ Luxembourg branches of non-EU credit institutions
- ♦ Investment firms (with the exception of investment firms requested a licence due to EU Regulation 909/2014 (CSDR)).

Concerned Transmissions:

- ♦ Activity report for all activities in Luxembourg (including the activity of their branches in Luxembourg), separate reports for the activity of their branches per EU Member State, and
- ♦ Activity report for the activity of branches in third countries, in accordance with the point 17 of these ESMA guidelines on internalised settlement reporting

Required Action:

For manual transmission

- ♦ Name of Sending type (manual transmission) - Reporting CSDR
- ♦ Your e-File administrator should grant the access to the new group: Reporting CSDR-9.

For automatic transmission

- ♦ To ensure a correct transmission of the aforementioned documents, please update your sending service: **a new version of the sending service (4.9.4)** is available
- ♦ Name Sending Service listener – Reporting CSDR

Additional information can be found on our updated WIKI Pages:

- ♦ https://www.e-file.lu/wiki/index.php/ProductCoverage#Credit_Institutions
- ♦ https://www.e-file.lu/wiki/index.php/Transmission_Module#Sending_types
- ♦ https://www.e-file.lu/wiki/index.php/Sending_Service

Additional Assistance required?

For any contractual questions:

Email : BusinessRelationshipDevpt.ClientServiceMgt@fundsquare.net

For any technical questions:

Client Support & Operations Desk

Email : csso.desk@fundsquare.net

Phone : +352 28370 211

With best regards,