Migration O-Reportings and new U1.1 reporting
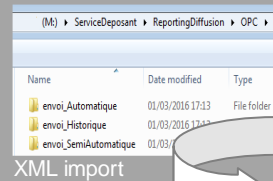
U 1.1, O 1.2, O 4.1, O 4.2

FUNDSQUARE

Fundsquare Station

www.fundsquare.net

Manual sending

Login
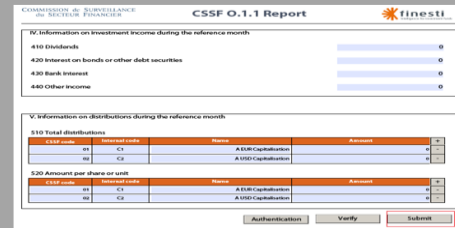
Complete **PDF** form

Semi-automatic Sending Service

Semi-manual sending

XML import

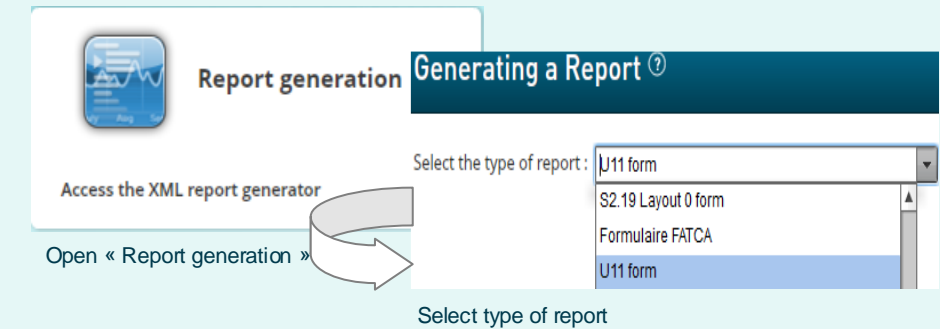PDF generation, validation and transmission
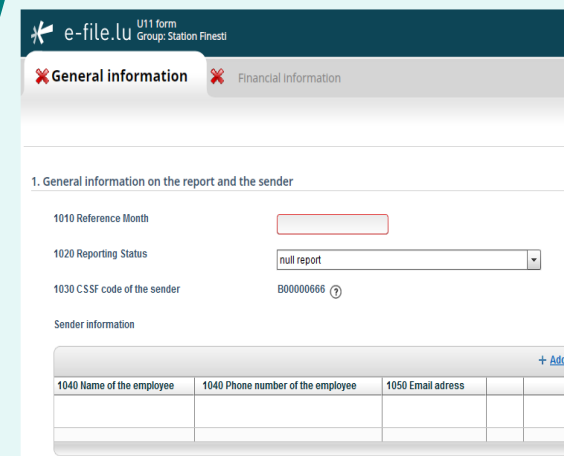
e-file V2

www.e-file.lu/e-file
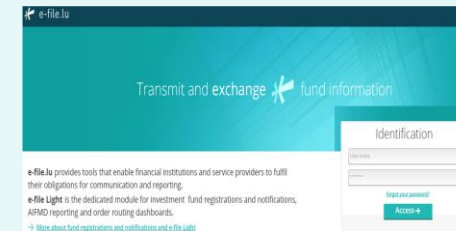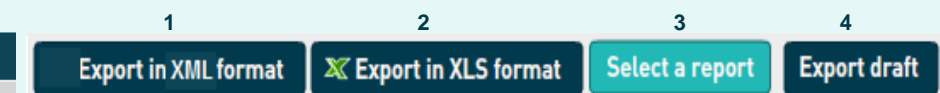
Manual sending

Login

Open « Report generation »

Select type of report

Complete web form

1 Press this button to export the **final** report in XML format. The file will be saved on your hard drive and is available for sending to the Regulator.

2 Press this button to export an uncompleted or finalized report in .xls format. This file serves for your internal purposeonly, however it cannot be imported.

3 This button allows you to upload an existing XML report from your network or harddrive into the report generator.
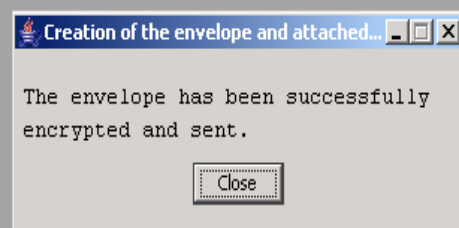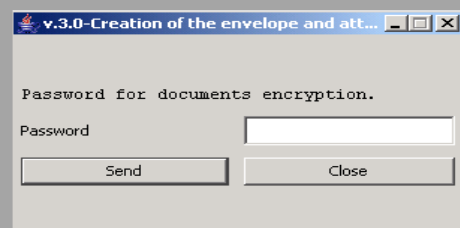
4 Work in progress on a report has to be **saved** with this button. The exported .xml file will be called DRAFT_filename.xml and **cannot** be uploaded for BCL and CSSF filing. It can be imported onto the tool for later use, only final reports will carry the correct naming convention for submission to the regulator.

Report Transmission

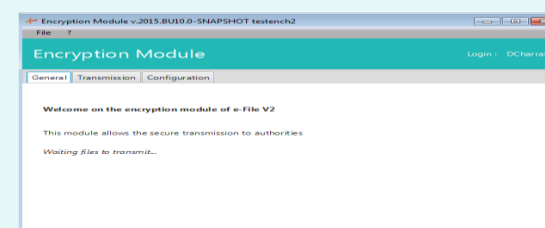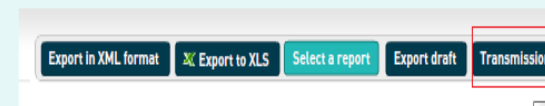Manual sending
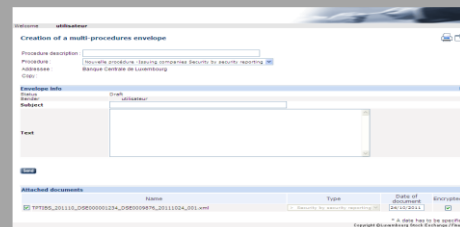
e-file V1

Once the PDF form completed, you had been redirected to e-file V1 to finalize the sending.

Report Transmission with the Report Generator

Manual sending

e-file V2

Once the form is completed, click on the « Transmission » button to send to completed form to the regulator.

When the « Transmission » button is used for the first time, a Java* application will be downloaded (.jnlp) in order to install the encryption module locally on your desktop. Please click on the « Run » button when the window on the left side appears on your screen in order to launch the encryption module.
*Java version 1.7.51 is a prerequisite for the installation of the e-file v2 encryption module

Once the Java application has been downloaded, the Encryption Module opens.
If it is used for the first time (or after each Java update), it has to be configured. The path to the keystore has to be selected with the « Browse » button and the key (locally or on a server) will have to be imported with the « Import » button. Reports can now be sent.
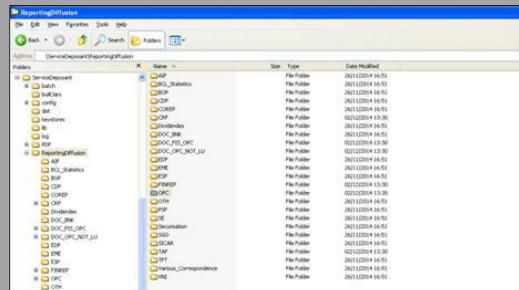
Migration O-Reportings and new U1.1 reporting

U 1.1, O 1.2, O 4.1, O 4.2

FUNDSQUARE

Sending Service 📁

Automatic sending

The Sending Service is accessible with the file explorer and visible as a folder including subfolders : The standard file structure includes an «OPC» subfolder (by default, a subfolder for each type of reporting company is included, but inactive).

Sending Service 📁

Automatic sending

Name	Date modified
📁 Enrichment	18/05/2016 15:23
U11REP-B00000666-O00006642-00000001...	18/05/2016 15:23
U11REP-B00000666-O00006642-00000001...	18/05/2016 15:53

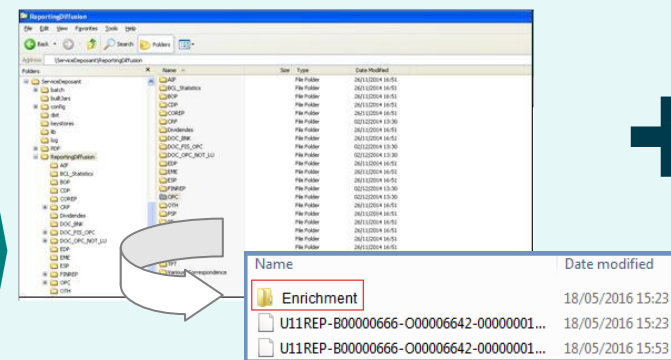**➕ NEW: U 1.1 enrichment facility**

The Sending Service is accessible with the file explorer and visible as a folder including subfolders : The standard file structure includes an «OPC» subfolder (by default, a subfolder for each type of reporting company is included, but inactive) + new subfolder « Enrichment »
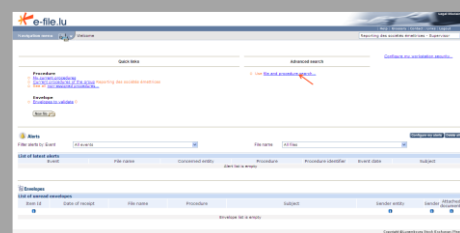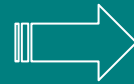
Transmission follow-up

Manual sending **Automatic sending**

www.e-file.lu

e-file V1

Status of procedures and feedback files from the regulator could be monitored via the platform www.e-file.lu
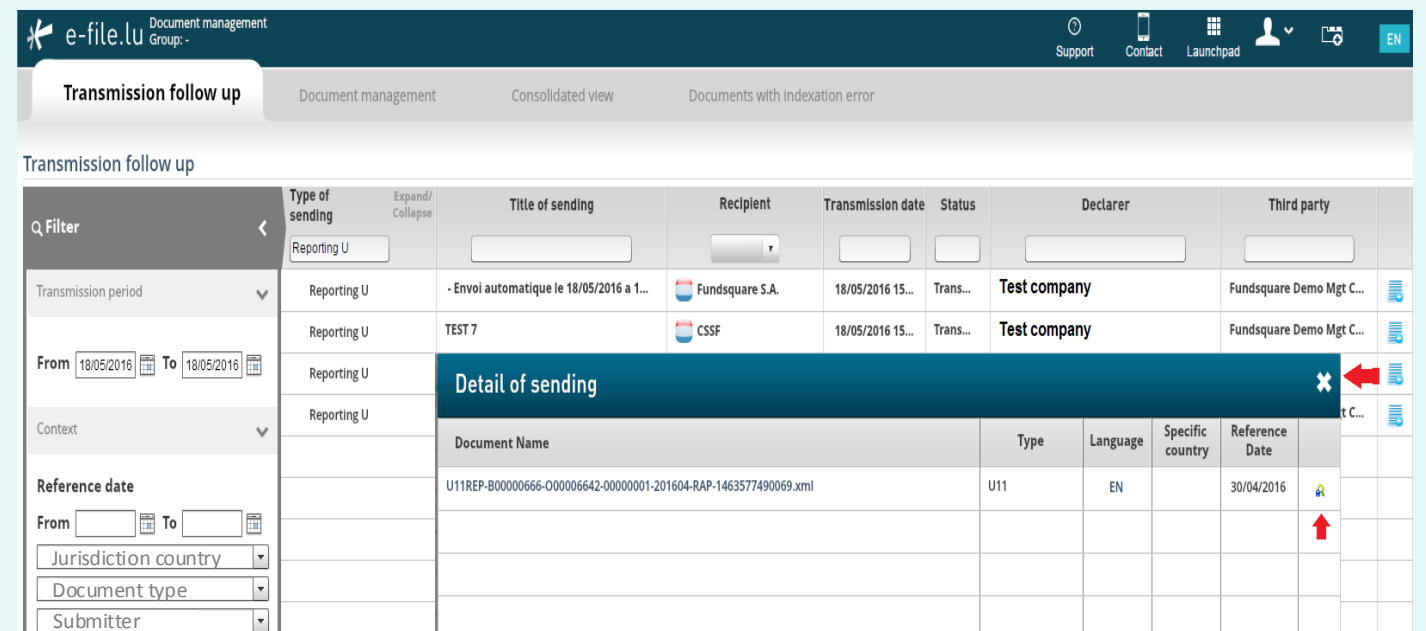
Transmission follow-up

Manual sending **Automatic sending**

e-file V2

- 3 new filters have been added (jurisdiction country, Document type and Submitter)
- In the column "Recipient" the name of the authority is displayed (O/U reports => recipient will be CSSF)
- New column "Reference date"
- New column "Title of the sending"