

Info Flash Email alerting Face-lifting

Date: 06/09/2018

Face-lifting of mail alerting system in e-File

Dear client,

Please be informed that we have release a new display of our mail alerting.

You will find below the list of updates we performed:

List of available alerts

According to the e-File V2 migration we have updated the list of available alerts.

In green the ones remaining, in red the ones deleted.

| Alert type | Comment |
|--|---|
| creation envelope DRAFT | No more draft envelope |
| Procedure allocated to agent by addressee | Now with the automated process the agent affected by the CSSF is automatically the apicssf user. |
| Receipt of new envelope | Receipt of feedback |
| New procedure created | The procedure is created in the same time there is a transmission so duplicate of envelope transmission; more over there is no feature to create a procedure in e-file V2 |
| Procedure allocated to agent by initiator | There is no notion of allocated agent by initiator in e-file V2 |
| Envelope read | There is no notion of envelope in read or unread status in e-file V2 |
| Procedure cancellation | Transmission cancellation by the authority |
| Procedure closed | Transmission closure by the authority |
| Envelope transmission | Transmission of an envelope to the authority |



| Alert type | Comment |
|---------------------------------|--|
| Progress report updated | Update of the page "Etat d'avancement" e-file V1 that is not a cancellation or a closure. Not used in e-file V2. |
| Envelope validation refused | Upon reject of an envelope by the Validator |
| Request for envelope validation | Upon submission of an envelope for validation by an Operator |
| Envelope validation granted | Upon validation of an envelope by the Validator |

Face-lifting

We have updated the text in order to reflect better the new behaviour of e-File V2

Example for a cancellation (in red the deletion and in green the additional information):

(...)

This is an alert which was automatically sent to you by e-file.lu in order to inform you that the procedure 'Submission of UCI prospectus pursuant circ. 08/371' has been cancelled by the entity My Company CSSF.

- Référence dossier : null - 02 2018 (332308),

- Sending subject : 2911 Market Access prospectus,
- Procedure reference : Submission of UCI prospectus pursuant circ. 08/371 (12836274),
- Cancellation date : 28/02/2018 13:48:09,
- Initial submission date : 23/02/2018 16:43:50.

Please do not reply to this automatically generated email.

(...)



Example for a receipt of envelope (in red the deletion and in green the additional information):

(...)

Ceci est une alerte qui vous a été envoyée automatiquement par <u>e-file.lu</u> afin de vous informer qu'une enveloppe a été déposée par Marie-Louise Baritussio de l'entité Commission De Surveillance Du Secteur Financier.

- Référence dossier : null - 04 2018 (336981),

- Procedure reference : Submission of UCI prospectus pursuant circ. 08/371 (12948024),
- Référence envelope Sending subject : test (14909909),
- Event occured on : 16/04/2018 17:47:30,
- Initial submission date : 16/04/2018 16:18:20.

Please do not reply to this automatically generated email.

(...)

You can retrieve further details on the mail alerting system on our Wiki: <u>https://www.e-file.lu/wiki/index.php/Transmission_Module#Alert_notifications</u>

The CSO Desk team remain at your disposal should you need any further assistance.

Best regards.