



Info Flash

Date : 27/05/2015

Summary

Updated Production unavailability information for 30/05/2015

Please note that due to an upgrade of our **production environment server**, our services will not be available as outlined below in more detail.

Fundsquare portal and e-File v1, e-File V2

8:00 AM to 9:00 AM

WhiteLabeling

8:00 AM for a couple of minutes only

The service unavailability includes associated services and may be subject to short term changes should a business requirement occur.

What are the new service features and impacts for you as a user?

e-file v2

In order to accommodate the recent regulatory reporting obligations (FATCA, AIFMD etc.), we had to amend the architecture of our solution; this has in certain areas also impacts on the appearance of the information on some screens while other enhancements remain purely technical.

Visual impacts

Document Management

When you select a fund, we will show as an additional layer the managing third party as well.

Gestion documentaire		
Vue consolidée Suivi de transmission		
01 Sélection des fonds 02 Gestion des documents		
ISIN :	Statut : ✓ Actif, Inactif	Pays de juridiction : Pays de distribution : Type du fonds : Tous
Reinitialiser Rechercher		
Déclarant	Pays de juridiction	Action
Contenant		
Fundsquare Demo Company S.A.		
Fundsquare Demo Fund 1	Luxembourg	Consulter
Fundsquare Demo Fund 2	Luxembourg	Consulter
Fundsquare Demo Manager S.A.		
Fundsquare Demo Fund 3	Luxembourg	Consulter
Fundsquare Demo Fund 4	Luxembourg	Consulter
Fundsquare Demo Fund 5	Luxembourg	Consulter



Transmission Basket

We have switched the selection order when you want to put a document in a basket.

	Type	Langue	Type spécifique	Date de référence	Date de réception		<input type="checkbox"/>
	MANREG	EN		20/03/2015	20/03/2015		<input checked="" type="checkbox"/>
	CPROSP	BG		13/05/2015	18/05/2015		<input type="checkbox"/>
	KIIDOC	DE		27/04/2015	27/04/2015		<input type="checkbox"/>
	CPROSP	EN		18/05/2015	18/05/2015		<input type="checkbox"/>
	SUPDOC	FR		01/03/2015	27/04/2015		<input type="checkbox"/>
	ATTREG	EN		18/05/2015	18/05/2015		<input type="checkbox"/>
	KIIDOC	FR		27/04/2015	27/04/2015		<input type="checkbox"/>
	CPROSP	CS		27/04/2015	27/04/2015		<input type="checkbox"/>
	KIIDOC	CS		27/04/2015	27/04/2015		<input type="checkbox"/>
	SEMREP	EN		30/06/2014	01/07/2014		<input type="checkbox"/>
	ANNREP	CS		27/04/2015	27/04/2015		<input type="checkbox"/>
	ARTINC	EN		04/05/2015	04/05/2015		<input type="checkbox"/>

Archiver les documents

Supprimer les documents

Réactiver les documents

Changer l'indexation des documents

Déposer des documents vers un panier

Sélection de l'action

It is now required to first select the workflow type,

Déposer les documents

Déposer les documents vers

Le panier de transmission aux autorités

Type d'envoi :

Envoi de prospectus complets

Envoi de rapports périodiques

Mise à jour KIID

Notification light

Annuler



before you select the country you would like to submit the document to.

Déposer les documents

Déposer les documents vers : Le panier de transmission aux autorités

Type d'envoi : Mise à jour KIID

Choisir un pays :

- Bulgarie
- Grèce
- Luxembourg
- Pologne
- Roumanie
- Suède

Annuler Valider

Transmission follow up screen

Enhancements of the screen have been implemented. We now allow a

- a) follow up of the sendings on third party level
- b) visualization of received feedbacks
- c) visualization of document details per sending
- d) pre-validation facility for the workflow FATCA on this screen

e-file.lu Document management Group: Groupe FATCA

Document management Consolidated view Transmission follow up

Transmission follow up

Q Filter

Type of sendings	Declarer	Jurisdiction country	Host country	Third party	Transmission date	Status
FATCA sending	[REDACTED]	LU	US	[REDACTED]	14/04/2015	Transmitted
FATCA sending	[REDACTED]	LU	US	[REDACTED]	24/04/2015	Transmitted
FATCA sending	[REDACTED]	LU	US	[REDACTED]	24/04/2015	Feedback Received
FATCA sending	[REDACTED]	LU	US	[REDACTED]	24/04/2015	Transmitted

From 05/04/2015 To 04/05/2015

Détail de l'envoi

Déclarant	Type	Langue	Pays spécifique	Date de référence
[REDACTED]	FATCA	FR		19/05/2015



Technical impacts

Due to a change of the access right management, you may now see additional workflows in our solution that you had not had access to before. Those additional workflows reflect the evolution of our infrastructure and the additional functionalities we added over the past couple months.



FUNDSQUARE

Available Workflows in e-file V2

Notification light Notify host member state of a new share class of an existing subfund or stand alone fund and ensure continuous flow of updated documents to the NCA of the host member state	KIID update Provide updated KIIDs to the competent authorities of the UCITS host Member State and indicate where those documents can be obtained electronically (Art 73 (7)); send updated document to NCA if required	AIF Marketing Marketing of units or shares of EU AIFs in Member States other than in the home Member State of the AIFM (Art 32). Submission of Notification package to home regulator	European Regulators (host/home)
Prospectus update Notify any amendments to the prospectus to the competent authorities of the UCITS host Member State and indicate where those documents can be obtained electronically (Art 73 (7)); send updated document to NCA if required	Periodic Report Provide Annual/Semi-Annual reports to the competent authorities of the UCITS host Member State and indicate where those documents can be obtained electronically (Art 73 (7)); send updated document to NCA if required	AIF Registration Marketing of units or shares of EU AIFs in Home Member States (Art 31). Submission of Notification package to home regulator	
Notification of Notice Notify the host member state of a notice to shareholders or other important notice the host member state needs to be aware of	Notification of Publication Certain host member state regulators require a proof of publication of the notice to shareholders (publication in newspapers, press releases)	Passporting AIF Cross-Border Notify AIFM home member state of managing an EU-AIF from a Member State other than the home Member State of the AIFM (Art. 33)	
Notification of Termination Notify the host member state that the distribution and registration of a registered share class shall be terminated.	Art. of Inc. / Management reg. Notify the host member state about any changes or new releases of Articles of Incorporation or Management regulations	AIF / AIFM Regulatory Reporting AIFM/AIF reporting according ESMA Guidelines on reporting obligations under Articles 3(3)(d) and 24(1), (2) and (4) of the AIFMD	
	UCITS Notification Full (only non-FR Management companies) Marketing of units or shares of UCITS in Member States other than those in which they are established; submit notification package to competent authorities of home Member State (Art 93 (2))		

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www.fundsquare.net

Should you have any questions regarding the above mentioned information, please do not hesitate to contact us. We are happy to assist you and to also deploy the necessary set ups for your fund universe with us.

Kind regards,

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